

| Please type a plus sign (+) inside this box | + | PTO/SB/05 (03 Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMME | | | | | | |
|--|----------------------|---|--|--|--|--|--|--|
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| PATENT APPLICATION | | ney Docket No. HO-P01492US2 (09804681/OTA98-81) Inventor Huda Y. Zoghbi | | | | | | |
| TRANSMITTAL | Title | CHAPERONE SUPPRESSION OF, etc. | | | | | | |
| (Only for new nonprovisional applications under 37 CFR | 1.53(b)) Expre | ess Mail Label No. EK102725583US | | | | | | |
| APPLICATION ELEMENTS | | Box Patent Application | | | | | | |
| See MPEP chapter 600 concerning utility patent appli | cation contents. | ADDRESS TO: Commissioner for Patents | | | | | | |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a displicate for fee processing) | | Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or | | | | | | |
| 2. X Applicant claims small entity status. See 37 CFR 1.27. | | Computer Program (Appendix) 8 Nucleotide and/or Amino Acid Sequence Submission | | | | | | |
| B. X Specification [Total Page | es 45] | (<i>if applicable, all necessary</i>) a. Computer Readable Form (CRF) | | | | | | |
| (preferred arrangement set forth below) | , | b. Specification Sequence Listing on | | | | | | |
| Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D | | i CD-ROM or CD-R (2 copies), or ii paper | | | | | | |
| Reference to sequence listing, a table, | | c Statements venfying identity of above copies | | | | | | |
| or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention | | ACCOMPANYING APPLICATIONS PARTS | | | | | | |
| Brief Description of the Drawings (if filed) Detailed Description | | Assignment Papers (cover sheet & document(s)) | | | | | | |
| - Claim(s) - Abstract of the Disclosure | | 10. 37 CFR 3.73(b) Statement Power of | | | | | | |
| X Drawing(s) (35 U.S C 113) [Total Shee | ets 13] | (when there is an assignee) | | | | | | |
| Oath or Declaration [Total Page | | 12. Information Disclosure Copies of IDS | | | | | | |
| a Newly executed (original or copy) | · ' | Statement (IDS)/PTO-1449 Citations | | | | | | |
| Copy from a prior application (37 CFR 1 | 63(d)) | Deture Recent Destand (MEDED 500) | | | | | | |
| (for continuation/divisional with Box 18 comple | ted) ' | (Should be specifically itemized) | | | | | | |
| i X DELETION OF INVENTOR(S) Signed statement attached deleting | | (if foreign priority is claimed) | | | | | | |
| inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1 33(b) | | Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | | | | | | |
| | | 17. Other: | | | | | | |
| Application Data Sheet See 37 CFR 1.76 | | | | | | | | |
| If a CONTINUING APPLICATION, check appropriate Data Sheet under 37 CFR 1 76 | box, and supply the | requisite information below and in a preliminary amendment, or in an Application | | | | | | |
| | tinuation-in-part (C | CIP) of prior application No 09/321,916 | | | | | | |
| Prior application information: Examiner | A. Baker | | | | | | | |
| OF CONTINUATION OF DIVISIONAL APPS Only Th | e entire disclosure | of the prior application from which are attended to | | | | | | |
| | | g continuation or divisional application and is hereby incorporated by been inadvertently omitted from the submitted application parts. | | | | | | |
| | | NDENCE ADDRESS | | | | | | |
| X Customer Number or Bar Code Label | | or Correspondence address below | | | | | | |
| FULBRIGHT & JAWORS Melissa L. Sistrunk | | 26271 ENT TRADEMARK OFFICE | | | | | | |
| dress 1301 McKinney, Suite 51 | 00 | | | | | | | |
| y Houston | | TX Zip Code 77010-3095 | | | | | | |
| ountry US | | TX Zip Code 77010-3095 (713) 651-3735 Fax (713) 651-5246 | | | | | | |
| Name (Print/Type) Melissa L. Sistrunk | | Registration No (Attorney/Agent) 45,579 | | | | | | |
| Signature Wolwa L | | | | | | | | |
| 10001NA | | Date June 19, 2001 | | | | | | |

| | Complete if Known | | | | | | | | | |
|---|-------------------|--|---------------|----------|---------------------|--|--|---|--|--|
| FEE TRANSMITTAL | | Complete if Kno | | | | | | | | |
| FEE INANSMITTAL | | Application Number | | | | To be assigned | | | | |
| for FY 2001 | | Filling Date June 19, 2001 First Named Inventor Huda Y. Zoghbi | | | | | | | | |
| .0.1 . 200 . | | Examiner Name Not Yet Assigned | | | | | | | | |
| Patent fees are subject to annual revision. | | Group Art Unit N/A | | | tooigrica | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 702.00 | | HO-P01492US2 | | | | | | | | |
| METHOD OF PAYMENT | 7 | | | | | | | | | |
| | | FEE CALCULATION (continued) | | | | | | | | |
| 1. x The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | 3. ADDITIONAL FEES | | | | | | | | |
| Deposit 06-2375 | | _arge Entity Small Entity fee Fee Fee Fee | | | | | Fee | | | |
| Account Number order 09804681 | | (\$) | Code | (\$) | | Fee Description Fee Paid | | | | |
| Deposit Cultural Advanced Line D | | 130 | 205 | 65 | Surchard | charge late filing fee or oath | | | | |
| Account Name Fulbright & Jaworski L.L.P. | 127 | 50 | 227 | 25 | | Surcharge – late provisional filing fee or | | | | |
| X Charge Any Additional X Applicant claims small | | | | | | cover sheet. | | | | |
| X Loarge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status See 37 CFR 1.27 | 139 | 130 | 139 | 130 | - | Non-English specification | | | | |
| 57 GFK 1.10 drig 1 17 57 GFK 1 27 | 147 | 2,520 | 147 | 2,520 | | or filing a request for ex parte reexamination equesting publication of SIR prior to | | | | |
| 2. Payment Enclosed | 112 | 920* | 112 | 920* | Requesti Examine | | on or SIK prior to | | | |
| Check Credit Card Money Order Other | 113 | 1,840* | 113 | 1,840* | | Requesting publication of SIR after Examiner action | | | | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extensio | n for reply wi | thin first month | | | |
| 1. BASIC FILING FEE | 116 | 390 | 216 | 195 | Extensio | n for reply wi | thin second month | | | |
| Large Entity Small Entity | 117 | 890 | 217 | 445 | Extensio | n for reply wi | thin third month | | | |
| Fee Fee Fee Fee Description Fee Paid | 118 | 1,390 | 218 | 695 | Extensio | n for reply wi | thin fourth month | | | |
| 101 710 201 355 Utility filing fee 355.00 | 128 | 1,890 | 228 | 945 | Extension | n for reply wi | thin fifth month | | | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of | Appeal | | | | |
| 107 490 207 245 Plant filing fee | 120 | 310 | 220 | 155 | Filing a b | rief in suppo | rt of an appeal | | | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Request | for oral hear | ing | | | |
| 114 150 214 75 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition t | o institute a p | oublic use proceeding | | | |
| SUBTOTAL (1) (\$) 355.00 | 140 | 110 | 240 | 55 | Petition to | o revive – un | avoidable | | | |
| | 141 | 1,240 | 241 | 620 | Petition to | o revive – un | intentional | | | |
| 2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid | 142 | 1,240 | 242 | 620 | Utility iss | ue fee (or rei | issue) | | | |
| Total Claims 23 -20** = 3 X 9 00 = 27.00 | 143 | 440 | 243 | 220 | Design is | sue fee | | | | |
| ndependent 11 -3** = 8 x 40.00 = 320.00 | 144 | 600 | 244 | 300 | Plant issu | ue fee | | | | |
| Multiple Dependent = 0 | 122 | 130 | 122 | 130 | Petitions | to the Comm | nissioner | | | |
| | 123 | 50 | 123 | 50 | Processin | ng fee under | 37 CFR 1.17(q) | | | |
| Large Entity Small Entity | 126 | 180 | 126 | 180 | | _ | ation Disclosure Stmt | | | |
| Fee Fee Fee Fee Fee Description | 581 | 40 | 581 | 40 | | | nt assignment per | | | |
| 103 18 203 9 Claims in excess of 20 | | | | | | | er of properties) ter final rejection | | | |
| 102 80 202 40 Independent claims in excess of 3 | 146 | 710 | 246 | 355 | (37 ČFR | 1.129(a)) | , l | | | |
| 104 270 204 135 Multiple dependent claim, if not paid | 149 | 710 | 249 | 355 | | additional in I (37CFR 1.1 | vention to be | | | |
| 109 80 209 40 ** Reissue independent claims | 179 | 710 | 279 | 355 | | | d Examination (RCE) | | | |
| over original patent | 169 | 900 | 169 | 900 | Request | for expedited | l examination | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | | | | or a desig | n application | · | | | |
| | Other | fee (specif | fy) <u>13</u> | 1 | | | | 0 | | |
| SUBTOTAL (2) (\$) 347 00 | *Redu | ced by Ba | sic Fılın | g Fee Pa | aid | SUBTOT | AL (3) (\$) | | | |
| **or number previously paid, if greater, For Reissues, see above | <u> </u> | | | | ***** | | | | | |
| SUBMITTED BY Name (print/type) Melissa L. Sistrunk | Registr | atıon No. | 145 - | 70 | | | (740) OF4 0705 | | | |
| | | ey/Agent) 45,579 Telephone (713) 651-3735 | | | | | | | | |
| Signature Mulina III | | | | | | June 19, 2001 | | | | |
| | | | | | | | | | | |
| Fee Transmittal I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102725583US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below. | | | | | | | | | | |
| | | | | | | i Delow. | | | | |
| Dated: June 19, 2001Signature: Hunter (Susan Hunter) | | | | | | | | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants: Zoghbi et al. | §. | |
|--------------------------------|----|----------------------|
| | § | Docket: P01492US2 |
| | § | (09804681/OTA 98-81) |
| Title: Chaperone-Suppression | § | |
| Of Ataxin-1 Aggregation and | § | Examiner: Baker, A. |
| Altered Subcellular Proteasome | § | , |
| Localization Imply Protein | § | Group Art Unit: 1632 |
| Misfolding in SCA1 | § | <u>-</u> |
| | § | Customer No. 26271 |
| | § | |

Commissioner for Patents Washington, D.C. 20231

STATEMENT DELETING INVENTORS UNDER 37 C.F.R. §§1.63(d)(2) AND 1.33(b)

Dear Sir:

Applicants herein submit a statement deleting inventors as directed under 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). Please delete Donald B. DeFranco, Michael A. Mancini, and David Stenoien. The undersigned is the agent of record.

Respectfully submitted,

Melissa L. Sistrunk Reg. No. 45,579 Agent for Applicants

Date: June 19, 2001 Fulbright & Jaworski L.L.P. 1301 McKinney, Suite 5100 Houston, Texas 77010-3095 (713) 651-3735